

*L3 Mention Informatique
Parcours Informatique et MIAGE*

Génie Logiciel Avancé

Part V : Black-Box Test

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Towards **Static** Specification-based Unit Test

- ❑ How can this testing scenario be applied a priori (before deployment, at coding time, even at design-time ?)

Difficulties with Static Unit Tests so far

- When is our test “adequate” ?

We have to decide on adequacy criteria in advance.

This can be:

- criteria on the coverage of the spec of the program
- criteria on statistical models and an error model

- Some empirical observations:

- No relation between detection order and detection difficulty
- No relation between detection difficulty and correction
- The more errors you found, the more you find more...
- The quality of a test set is independent of its size.

Functional Unit Test : An Example

The specification in UML/MOAL:

Triangles

a, b, c: Integer

- mk(Integer, Integer, Integer): Triangle
- is_Triangle(): {equ (*equilateral*),
iso (*isosceles*),
arb (*arbitrary*) }

Functional Unit Test : An Example

Recall:

Triangles

a, b, c: Integer

```
- mk(Integer, Integer, Integer):Triangle  
- is_Triangle(): {equ (*equilateral*),  
                 iso (*isosceles*),  
                 arb (*arbitrary*) }
```

inv $0 < a \wedge 0 < b \wedge 0 < c$
inv $c \leq a+b \wedge a \leq b+c \wedge b \leq c+a$

```
operation t.is_Triangle():  
  pre  t ≠ null  
  post t.a=t.b ∧ t.b=t.c → result=equ  
        (t.a≠t.b ∨ t.b≠t.c ∨ t.a≠t.c) ∧  
        (t.a=t.b ∨ t.b=t.c ∨ t.a=t.c)) → result=iso  
  post (t.a≠t.b ∧ t.b≠t.c ∧ t.a≠t.c)) → result=arb  
  post modifiesOnly({ })
```

Generating Test-Data by Example

- Consider the test specification (the “Test Goal”):

$\text{mk}(x,y,z).\text{isTriangle}() \equiv X$

i.e. for which input (x,y,z) should an implementation of our contract yield which X ?

Note that we define $\text{mk}(0,0,0)$ to invalid, as well as all other invalid triangles ...

Intuitive Test-Data Generation

- ❑ an arbitrary valid triangle: (3, 4, 5)
- ❑ an equilateral triangle: (5, 5, 5)
- ❑ an isoscele triangle and its permutations :
(6, 6, 7), (7, 6, 6), (6, 7, 6)
- ❑ impossible triangles and their permutations :
(1, 2, 4), (4, 1, 2), (2, 4, 1) -- $x + y > z$
(1, 2, 3), (2, 4, 2), (5, 3, 2) -- $x + y = z$ (necessary?)
- ❑ a zero length : (0, 5, 4), (4, 0, 5),
- ❑ . . .
- ❑ Would we have to consider negative values?

Test-Data Generation

- ❑ Ouf, is there a systematic and automatic way to compute all these cases ?

Revision: Boolean Logic + Some Basic Rules

- $\neg(a \wedge b) = \neg a \vee \neg b$ (* deMorgan1 *)
 - $\neg(a \vee b) = \neg a \wedge \neg b$ (* deMorgan2 *)
 - $a \wedge (b \vee c) = (a \wedge b) \vee (a \wedge c)$
 - $\neg(\neg a) = a$, $a \vee \neg a = T$, $a \wedge \neg a = F$,
 - $a \wedge b = b \wedge a$; $a \vee b = b \vee a$
 - $a \wedge (b \wedge c) = (a \wedge b) \wedge c$
 - $a \vee (b \vee c) = (a \vee b) \vee c$
 - $a \rightarrow b = (\neg a) \vee b$
 - $(a=b \wedge P(a)) = P(b)$ (* one point rule *)

 - $\text{let } x = E \text{ in } C(x) = C(E)$ (* let elimination *)
 - $\text{if } c \text{ then } C \text{ else } D = (c \wedge C) \vee (\neg c \wedge D)$
 $= (c \rightarrow C) \wedge (\neg c \rightarrow D)$
-

Test-Data Generation

- ❑ Ouf, is there a systematic and automatic way to compute all these cases ?

Well, lets see and calculate ...

Test-Data Generation

- ❑ Recall the test specification:

$\text{mk}(x,y,z).\text{isTriangle}() = r$

Test-Data Generation

- Recall the test specification:

$$\begin{aligned} & \text{mk}(x,y,z).\text{isTriangle}() = r \\ \equiv & \text{inv}_{\text{Triangle}}(\sigma) \wedge \text{pre}_{\text{isTriangle}}(\text{mk}(x,y,z))(\sigma) \wedge \\ & \text{inv}_{\text{Triangle}}(\sigma') \wedge \text{post}_{\text{isTriangle}}(\text{mk}(x,y,z), r)(\sigma, \sigma') \\ (* \text{ see semantics in MOAL II, page 22. } *) \end{aligned}$$

Some Facts:

- From $\text{modifiesOnly}(\{\})$ follows $\sigma = \sigma'$ hence
 $\text{inv}_{\text{Triangle}}(\sigma) = \text{inv}_{\text{Triangle}}(\sigma')$
- From $\text{mk}(x,y,z) \neq \text{null}$ (see $\text{pre}_{\text{isTriangle}}$) and from
 $\text{inv}_{\text{Triangle}}(\sigma)$ and $\text{mk}(x,y,z) \in \text{Triangle}(\sigma)$ follows that:

$$0 < x \wedge 0 < y \wedge 0 < z \wedge x \leq y + z \wedge y \leq x + z \wedge z \leq x + y \quad (\equiv \text{inv})$$

Test-Data Generation

- Recall the test specification:

$$\text{mk}(x,y,z).\text{isTriangle}() = r$$

$$\equiv \text{inv}_{\text{Triangle}}(\sigma) \wedge \text{pre}_{\text{isTriangle}}(\text{mk}(x,y,z))(\sigma) \wedge \\ \text{inv}_{\text{Triangle}}(\sigma') \wedge \text{post}_{\text{isTriangle}}(\text{mk}(x,y,z), r)(\sigma, \sigma') \\ (* \text{ see semantics in MOAL II, page 22. } *)$$

Some Facts:

- $\text{arb} \neq \text{equ} \neq \text{iso}$
- $\text{post}_{\text{isTriangle}}(\text{mk}(x,y,z), r)(\sigma, \sigma)$ can be simplified to:

$$((x=y \wedge y=z \rightarrow r=\text{equ}) \wedge \\ ((x \neq y \vee y \neq z) \wedge (x=y \vee y=z \vee x=z) \rightarrow r=\text{iso}) \wedge \\ ((x \neq y \wedge y \neq z \wedge x \neq z) \rightarrow r=\text{arb}))$$

Test-Data Generation

- Summing up:

$$\begin{aligned} & \text{mk}(x,y,z).\text{isTriangle}() = r \\ \equiv & \text{inv}_{\text{Triangle}}(\sigma) \wedge \text{pre}_{\text{isTriangle}}(\text{mk}(x,y,z))(\sigma) \wedge \\ & \text{inv}_{\text{Triangle}}(\sigma') \wedge \text{post}_{\text{isTriangle}}(\text{mk}(x,y,z), r)(\sigma, \sigma') \\ & (* \text{ see semantics of MOAL II, page 22. } *) \end{aligned}$$

⇒ (* the discussed facts *)

$$\begin{aligned} & \text{inv} \wedge \\ & (x=y \wedge y=z \rightarrow r=\text{equ}) \wedge \\ & ((x \neq y \vee y \neq z) \wedge (x=y \vee y=z \vee x=z) \rightarrow r=\text{iso}) \wedge \\ & (x \neq y \wedge y \neq z \wedge x \neq z \rightarrow r=\text{arb}) \end{aligned}$$

Test-Data Generation

- Recall the test specification:

$$\begin{aligned} \text{inv} \wedge & (x=y \wedge y=z \rightarrow r=\text{equ}) \wedge \\ & ((x \neq y \vee y \neq z) \wedge (x=y \vee y=z \vee x=z) \rightarrow r=\text{iso}) \wedge \\ & (x \neq y \wedge y \neq z \wedge x \neq z \rightarrow r=\text{arb}) \end{aligned}$$

≡ (* elimination → , deMorgan*)

$$\begin{aligned} \text{inv} \wedge & \\ & (x \neq y \vee y \neq z \vee r=\text{equ}) \wedge \\ & ((x=y \wedge y=z) \vee (x \neq y \wedge y \neq z \wedge x \neq z) \vee r=\text{iso}) \wedge \\ & (x=y \vee y=z \vee x=z \vee r=\text{arb}) \end{aligned}$$

Test-Data Generation

- This first part of the calculation could be called

PURIFICATION

We eliminate UML, object-orientation, MOAL etcpp
and reduce it to the pure logical core ...

Now, under which precise conditions do we have

- $r = \text{iso}$
- $r = \text{arb}$
- $r = \text{equ} \quad ???$

Test-Data Generation

- This first part of the calculation could be called

PURIFICATION

We eliminate UML, object-orientation, MOAL etcpp
and reduce it to the pure logical core ...

Can we transform the spec into the form

- $A_1 \wedge \dots \wedge A_i \wedge r = \text{iso}$
- $B_1 \wedge \dots \wedge B_k \wedge r = \text{arb}$
- $C_1 \wedge \dots \wedge C_l \wedge r = \text{equ } ???$

Test-Data Generation

- This first part of the calculation could be called

PURIFICATION

We eliminate UML, object-orientation, MOAL etcpp
and reduce it to the pure logical core ...

Can we transform the spec into a

Disjunctive Normal Form (DNF) ?

Excursion

- Generalized Distribution Laws:

$$\begin{aligned}(A_1 \vee A_2) \wedge (B_1 \vee B_2) &= (A_1 \wedge (B_1 \vee B_2)) \vee (A_2 \wedge (B_1 \vee B_2)) \\&= (A_1 \wedge B_1) \vee (A_2 \wedge B_1) \vee (A_1 \wedge B_2) \vee (A_2 \wedge B_2)\end{aligned}$$

$$\begin{aligned}(A_1 \vee A_2 \vee A_3) \wedge (B_1 \vee B_2 \vee B_3) \wedge (C_1 \vee C_2 \vee C_3) \\&= \dots \\&= (A_1 \wedge B_1 \wedge C_1) \vee (A_1 \wedge B_1 \wedge C_2) \vee (A_1 \wedge B_1 \wedge C_3) \vee \\&\quad (A_2 \wedge B_1 \wedge C_1) \vee (A_2 \wedge B_1 \wedge C_2) \vee (A_2 \wedge B_1 \wedge C_3) \vee \\&\quad \dots \\&\quad (A_1 \wedge B_3 \wedge C_3) \vee (A_2 \wedge B_3 \wedge C_3) \vee (A_3 \wedge B_3 \wedge C_3)\end{aligned}$$

Test-Data Generation

- Recall the test specification:

...

$$\equiv \text{inv} \wedge \\ ((x \neq y \vee y \neq z \vee r = \text{equ}) \wedge \\ ((x = y \vee y = z \vee x = z \vee r = \text{arb}) \wedge \\ ((x = y \wedge y = z) \vee (x \neq y \wedge y \neq z \wedge x \neq z) \vee r = \text{iso}))$$

≡ (* generalized distribution 2nd/3rd line *)

$$\text{inv} \wedge \\ (((x \neq y \wedge x = y) \vee (x \neq y \wedge y = z) \vee (x \neq y \wedge x = z) \vee (x \neq y \wedge r = \text{arb})) \vee \\ (((y \neq z \wedge x = y) \vee (y \neq z \wedge y = z) \vee (y \neq z \wedge x = z) \vee (y \neq z \wedge r = \text{arb})) \vee \\ (((r = \text{equ} \wedge x = y) \vee (r = \text{equ} \wedge y = z) \vee (r = \text{equ} \wedge x = z) \vee (r = \text{equ} \wedge r = \text{arb})) \vee \\ ((x = y \wedge y = z) \vee (x \neq y \wedge y \neq z \wedge x \neq z) \vee r = \text{iso}))$$

Test-Data Generation

- Recall the test specification:

...

$$\equiv \text{inv} \wedge$$
$$((x \neq y \vee y \neq z \vee r = \text{equ}) \wedge$$
$$((x = y \vee y = z \vee x = z \vee r = \text{arb}) \wedge$$
$$((x = y \wedge y = z) \vee (x \neq y \wedge y \neq z \wedge x \neq z) \vee r = \text{iso})$$

$$\equiv (\text{* elimination contradictions *})$$
$$\text{inv} \wedge$$
$$((\cancel{x \neq y \wedge x = y}) \vee (x \neq y \wedge y = z) \vee (x \neq y \wedge x = z) \vee (x \neq y \wedge r = \text{arb}) \vee$$
$$(y \neq z \wedge x = y) \vee (\cancel{y \neq z \wedge y = z}) \vee (y \neq z \wedge x = z) \vee (y \neq z \wedge r = \text{arb}) \vee$$
$$(r = \text{equ} \wedge x = y) \vee (r = \text{equ} \wedge y = z) \vee (r = \text{equ} \wedge x = z) \vee (\cancel{r = \text{equ} \wedge r = \text{arb}}) \vee$$
$$((x = y \wedge y = z) \vee (x \neq y \wedge y \neq z \wedge x \neq z) \vee r = \text{iso})$$

Test-Data Generation

- Recall the test specification:

...
≡ (* elimination contradictions *)

$$\begin{aligned} & \text{inv} \wedge \\ & \left((\text{x} \neq \text{y} \wedge \text{y} = \text{z}) \vee (\text{x} \neq \text{y} \wedge \text{x} = \text{z}) \vee (\text{x} \neq \text{y} \wedge \text{r} = \text{arb}) \vee \right. \\ & \quad (\text{y} \neq \text{z} \wedge \text{x} = \text{y}) \vee (\text{y} \neq \text{z} \wedge \text{x} = \text{z}) \vee (\text{y} \neq \text{z} \wedge \text{r} = \text{arb}) \vee \\ & \quad \left. (\text{r} = \text{equ} \wedge \text{x} = \text{y}) \vee (\text{r} = \text{equ} \wedge \text{y} = \text{z}) \vee (\text{r} = \text{equ} \wedge \text{x} = \text{z}) \right) \wedge \\ & \left((\text{x} = \text{y} \wedge \text{y} = \text{z}) \vee (\text{x} \neq \text{y} \wedge \text{y} \neq \text{z} \wedge \text{x} \neq \text{z}) \vee \text{r} = \text{iso} \right) \end{aligned}$$

Test-Data Generation

□ \equiv (* generalized distribution 2nd/3rd ($(9 * 3 = 27$ cases !)*)

$$\begin{aligned} & \text{inv } \wedge \\ & ((x \neq y \wedge y = z \wedge x = y \wedge y = z) \vee (x \neq y \wedge x = z \wedge \\ & \quad x = y \wedge y = z) \vee (x \neq y \wedge r = arb \wedge x = y \wedge y = z) \vee \\ & (y \neq z \wedge x = y \wedge x = y \wedge y = z) \vee (y \neq z \wedge x = z \wedge \\ & \quad x = y \wedge y = z) \vee (y \neq z \wedge r = arb \wedge x = y \wedge y = z) \vee \\ & (r = equ \wedge x = y \wedge x = y \wedge y = z) \vee (r = equ \wedge \\ & \quad y = z \wedge x = y \wedge y = z) \vee (r = equ \wedge x = z \wedge x = y \wedge y = z) \vee \\ & ((x \neq y \wedge y = z \wedge x \neq y \wedge y \neq z \wedge x \neq z) \vee (x \neq y \wedge x = z \wedge x \neq y \wedge y \neq z \wedge x \neq z) \vee (x \neq y \wedge r = arb \wedge \\ & \quad x \neq y \wedge y \neq z \wedge x \neq z) \vee (y \neq z \wedge x = y \wedge x \neq y \wedge y \neq z \wedge x \neq z) \vee (y \neq z \wedge x = z \wedge x \neq y \wedge y \neq z \wedge \\ & \quad x \neq z) \vee (y \neq z \wedge r = arb \wedge x \neq y \wedge y \neq z \wedge x \neq z) \vee (r = equ \wedge x = y \wedge x \neq y \wedge y \neq z \wedge x \neq z) \vee (r \\ & = equ \wedge y = z \wedge x \neq y \wedge y \neq z \wedge x \neq z) \vee (r = equ \wedge x = z \wedge x \neq y \wedge y \neq z \wedge x \neq z) \vee \\ & ((x \neq y \wedge y = z \wedge r = iso) \vee (x \neq y \wedge x = z \wedge r = iso) \vee (x \neq y \wedge r = arb \wedge r = iso) \\ & \vee (y \neq z \wedge x = y \wedge r = iso) \vee (y \neq z \wedge x = z \wedge r = iso) \vee (y \neq z \wedge r = arb \wedge r = iso) \vee \\ & (r = equ \wedge x = y \wedge r = iso) \vee (r = equ \wedge y = z \wedge r = iso) \vee (r = equ \wedge x = z \wedge r = iso)) \end{aligned}$$

Test-Data Generation

- ≡ (* elimination of the contradictions and redundancies *)

inv \wedge
~~($x \neq y \wedge y = z \wedge x = y \wedge y = z$) \vee ($x \neq y \wedge x = z \wedge$
 $x = y \wedge y = z$) \vee ($x \neq y \wedge r = arb \wedge x = y \wedge y = z$) \vee
 $(y \neq z \wedge x = y \wedge x = y \wedge y = z)$ \vee ($y \neq z \wedge x = z \wedge$
 $x = y \wedge y = z$) \vee ($y \neq z \wedge r = arb \wedge x = y \wedge y = z$) \vee
($r = equ \wedge x = y \wedge x = y \wedge y = z$) \vee ($r = equ \wedge$
 $y = z \wedge x = y \wedge y = z$) \vee ($r = equ \wedge x = z \wedge x = y \wedge y = z$) \vee
($x \neq y \wedge y = z \wedge x \neq y \wedge y \neq z \wedge x \neq z$) \vee ($x \neq y \wedge x = z \wedge x \neq y \wedge y \neq z \wedge x \neq z$) \vee ($x \neq y \wedge r = arb \wedge$
 $x \neq y \wedge y \neq z \wedge x \neq z$) \vee ($y \neq z \wedge x = y \wedge x \neq y \wedge y \neq z \wedge x \neq z$) \vee ($y \neq z \wedge x = z \wedge x \neq y \wedge y \neq z \wedge x \neq z$) \vee ($y \neq z \wedge r = arb \wedge x \neq y \wedge y \neq z \wedge x \neq z$) \vee ($r = equ \wedge x = y \wedge x \neq y \wedge y \neq z \wedge x \neq z$) \vee ($r = equ \wedge y = z \wedge x \neq y \wedge y \neq z \wedge x \neq z$) \vee ($r = equ \wedge x = z \wedge x \neq y \wedge y \neq z \wedge x \neq z$) \vee
($(x \neq y \wedge y = z \wedge r = iso) \vee (x \neq y \wedge x = z \wedge r = iso) \vee (x \neq y \wedge r = arb \wedge r = iso)$
 $\vee (y \neq z \wedge x = y \wedge r = iso) \vee (y \neq z \wedge x = z \wedge r = iso) \vee (y \neq z \wedge r = arb \wedge r = iso)$ \vee
($r = equ \wedge x = y \wedge r = iso) \vee (r = equ \wedge y = z \wedge r = iso) \vee (r = equ \wedge x = z \wedge r = iso)$)~~

Test-Data Generation

- $\equiv (* \text{ cleanup, distribution } *)$

$$(\text{inv} \wedge x=y \wedge x=y \wedge y=z \wedge r=\text{equ}) \vee \quad (1)$$

$$(\text{inv} \wedge x \neq y \wedge y \neq z \wedge x \neq z \wedge r=\text{arb}) \vee \quad (2)$$

$$(\text{inv} \wedge x \neq y \wedge y=z \wedge r=\text{iso}) \vee \quad (3)$$

$$(\text{inv} \wedge x \neq y \wedge x=z \wedge r=\text{iso}) \vee \quad (4)$$

$$(\text{inv} \wedge y \neq z \wedge x=y \wedge r=\text{iso}) \vee \quad (5)$$

$$(\text{inv} \wedge y \neq z \wedge x=z \wedge r=\text{iso}) \quad (6)$$

- Test-Case-Construction by DNF Method

yields six abstract test cases

relatibng input x y z to output r

- Note: In general, output r is not necessarily uniquely defined as in our example ...

The spec can be non-deterministic admitting several results.

Test-Data Generation

- **Test-Data-Selection:**

For each abstract test-case, we construct one concrete test, by choosing values that make the abstract test case true (<< that satisfies the abstract test case >>)

case	x	y	z	result
(1)	3	3	3	equ
(2)	3	4	6	arb
(3)	4	5	5	iso
(4)	5	4	5	iso
(5)	5	5	4	iso
(6)	4	3	4	iso

Test-Data Generation

- A First Summary on the Test-Generation Method:
 - PHASE I: Stripping the Domain-Language (UML-MOAL) away, “purification”
 - PHASE II: Abstract Test Case Construction by “DNF computation”
 - PHASE III: Constraint Resolution (by solvers like CVC4 or Z3)
“Test Data Selection”
 - COVERAGE CRITERION:
DNF - coverage of the Spec; for each abstract test-case one concrete test-input is constructed.
(ISO/IEC/IEEE 29119 calls this: Equivalence class testing)
- Remark: During Coding phase, when the Spec does not change, the test-data-selection can be repeated easily creating always different test sets ...

Test-Data Generation

- Variants:
 - Alternative to PHASE II (DNF construction):
Predicate Abstraction and Tableaux-Exploration.

Reconsider the (purified) specification:

```
inv ∧  
(x=y ∧ y=z → r=equ) ∧  
((x≠y ∨ y≠z) ∧ (x=y ∨ y=z ∨ x=z) → r=iso) ∧  
(x≠y ∧ y≠z ∧ x≠z → r=arb)
```

It is possible to abstract this spec to a fairly small number of „base predicates“ ... They should be logically independent and not contain the output variable...

Test-Data Generation

- Variants:

- Alternative to PHASE II (DNF construction):
Predicate Abstraction and Tableaux-Exploration.

Reconsider the (purified) specification:

$$\begin{aligned} & \text{inv} \wedge \\ & (A \wedge B \rightarrow r=\text{equ}) \wedge \\ & ((\neg A \vee \neg B) \wedge (A \vee B \vee C) \rightarrow r=\text{iso}) \wedge \\ & (\neg A \wedge \neg B \wedge \neg C \rightarrow r=\text{arb}) \end{aligned}$$

where $A \mapsto x=y$, $B \mapsto y=z$, $C \mapsto x=z$

(actually: A and B imply C)

Test-Data Generation

□ Variants:

- ... Now we can construct a tableau and get by simplification:

case	A	B	C	spec reduces to
(1)	T	T	T	• r=equ
(2)	T	T	F	• r=equ (! ! !)
(3)	T	F	T	• r=iso
(4)	T	F	F	• r=iso
(5)	F	T	T	• r=iso
(6)	F	T	F	• r=iso
(7)	F	F	T	• r=iso
(8)	F	F	F	• r=arb

Test-Data Generation

- Variants:

- PHASE III: Borderline analysis.

Principle: we replace in our DNF inequalities by
„the closest values that make the spec true“

$$x \neq y \quad \mapsto \quad x = y + 1 \vee x = y - 1$$

$$x \leq y \quad \mapsto \quad x = y \vee x < y$$

$$x < y \quad \mapsto \quad x = y - 1 \quad \text{etc.}$$

- ... and recompute the DNF. In general, this gives a much finer mesh ...

Test-Data Generation

- Variants:

- PHASE I: Test for exceptional behaviour.

We negate the precondition and to DNF generation on the precondition only.

Test objectives could be:

- should raise an exception if public
 - should not diverge

Test-Data Generation

- How to handle Recursion ?

Test-Data Generation

□ How to handle Recursion ?

In UML/MOAL, recursion occurs (at least) at two points:

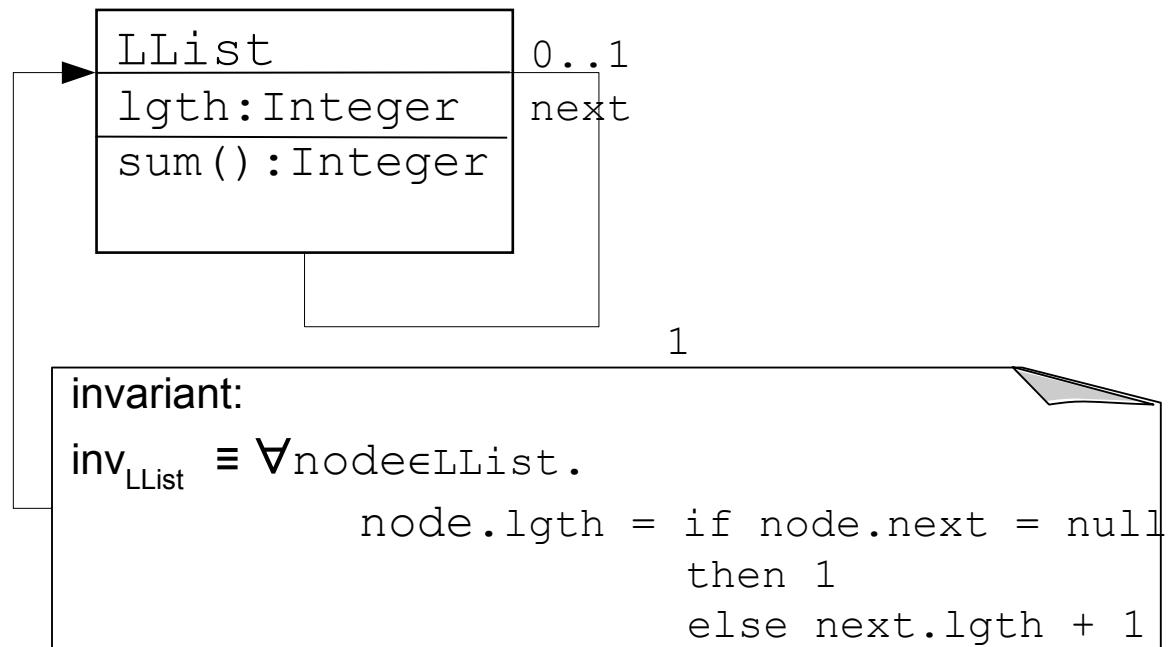
- at the level of data

Test-Data Generation

□ How to handle Recursion ?

In UML/MOAL, recursion occurs (at least) at two points:

- at the level of data



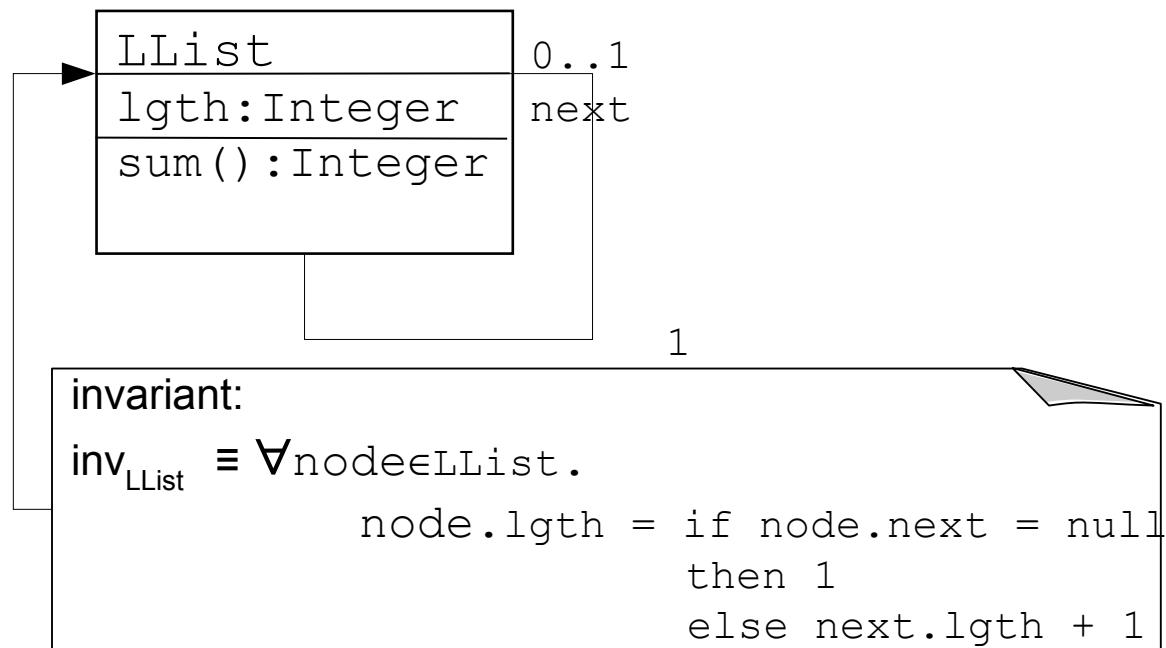
Test-Data Generation

□ How to handle Recursion ?

In UML/MOAL, recursion occurs (at least) at two points:

- at the level of data

Note that this excludes cyclic lists !!!

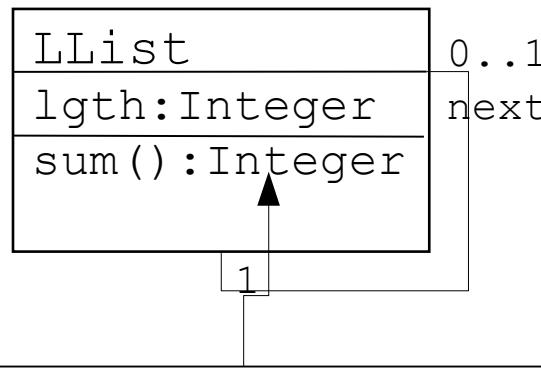


Test-Data Generation

□ How to handle Recursion ?

In UML/MOAL, recursion occurs (at least) at two points:

- at the level of operations (post-conds may contain calls ...)



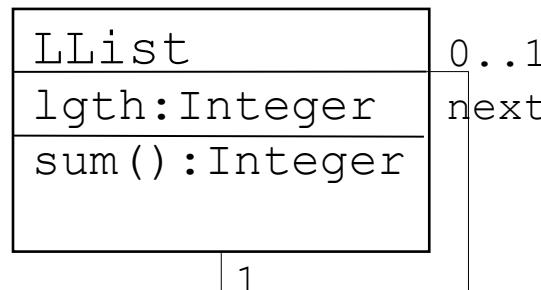
```
query contract (modifiesOnly({})):
definition presum(l) ≡ True
definition postsum(l,res) ≡ res = if l.next=null then l.lgth
                                         else l.lgth + l.next.sum()
definition sum(l) ≡ arb{r|presum(l) ∧ postsum(l,r)}
```

Test-Data Generation

❑ How to handle Recursion ?

In UML/MOAL, recursion occurs (at least) at two points:

- at the level of operations (post-conds may contain calls ...)



Note that $\text{arb}(S)$ gives an arbitrary member of S : $\text{arb}(S) \in S$. Since from $x = \text{arb}(\{y\})$ follows $x = y$; thus $\text{sum}(l)$ is (uniquely) defined. The (σ, σ') convention applies.

Test-Data Generation

- Prerequisite: We present the invariant as recursive predicate.

definition $\text{inv}_{\text{LList_Core}} n \sigma \equiv (n.\text{lgth}(\sigma) = \text{if } n.\text{next}(\sigma) = \text{null} \text{ then } 1 \text{ else } n.\text{next}.\text{lgth}(\sigma) + 1)$

we have:

$$\text{inv}_{\text{LList}} (\sigma) = \forall n \in \text{LList}(\sigma). \text{inv}_{\text{LList_Core}} n \sigma$$

and

$$\begin{aligned} \text{inv}_{\text{LList_Core}}(n)(\sigma) = & (\text{if } n.\text{next}(\sigma) = \text{null} \text{ then } n.\text{lgth}(\sigma) = 1 \\ & \text{else } n.\text{lgth}(\sigma) = n.\text{next}.\text{lgth}(\sigma) + 1 \\ & \wedge n.\text{next}(\sigma) \in \text{LList}(\sigma) \\ & \wedge \text{inv}_{\text{LList_Core}}(n.\text{next})(\sigma)) \end{aligned}$$

(under the assumption that $n \in \text{LList}(\sigma)$)

Furthermore we have:

$$\begin{aligned} \text{sum}(l)(\sigma', \sigma) = & \text{if } l.\text{next}(\sigma) = \text{null} \text{ then } l.\text{lgth}(\sigma) \\ & \text{else } l.\text{lgth}(\sigma) + \text{sum}(l.\text{next})(\sigma', \sigma) \end{aligned}$$

We have $\sigma' = \sigma$ (why?). We will again apply (σ', σ) - convention.

Test-Data Generation

- Consider the test specification:

$$X.sum() \equiv Y \quad (\text{for some } X \in \text{LList}, \text{ i.e. } X \neq \text{null})$$

$$\equiv \text{inv}_{\text{LList}}(X) \wedge \text{presum}(X) \wedge \text{postsum}(X, Y)$$

where:

$$\text{presum}(X) \equiv \text{true}$$

$$\text{postsum}(X, Y) \equiv (\text{if } X.\text{next} = \text{null} \text{ then } Y = X.\text{lgth} \\ \text{else } Y = X.\text{lgth} + \text{sum}(X.\text{next}))$$

$$\equiv (X.\text{next} = \text{null} \wedge Y = X.\text{lgth})$$

$$\vee (X.\text{next} \neq \text{null} \wedge Y = X.\text{lgth} + \text{sum}(X.\text{next}))$$

Test-Data Generation

- DNF computation yields already the test cases:

$$X.sum() \equiv Y \quad (\text{for some } X \in \text{LList}, \text{ i.e. } X \neq \text{null})$$

$$\implies \text{inv}_{\text{LList_Core}}(X) \wedge \text{post}_{\text{sum}}(X, Y)$$

$$\begin{aligned} \equiv & \text{ (if } X.\text{next}=\text{null} \text{ then } X.\text{lgth} = 1 \\ & \text{ else } X.\text{lgth} = X.\text{next}.\text{lgth}+1 \wedge X.\text{next} \in \text{LList} \wedge \text{inv}_{\text{LList_Core}}(X.\text{next})) \wedge \\ & (\text{if } X.\text{next} = \text{null} \text{ then } Y = X.\text{lgth} \\ & \quad \text{else } Y = X.\text{lgth} + \text{sum}(X.\text{next})) \end{aligned}$$

\equiv (DNF)

$$\begin{aligned} & (X.\text{next}=\text{null} \wedge X.\text{lgth}=1 \wedge Y = X.\text{lgth}) \\ \vee & (X.\text{next} \neq \text{null} \wedge X.\text{lgth} = X.\text{next}.\text{lgth}+1 \\ & \wedge X.\text{next} \in \text{LList} \wedge \text{inv}_{\text{LList_Core}}(X.\text{next}) \\ & \wedge Y = X.\text{lgth} + \text{sum}(X.\text{next})) \end{aligned}$$

Test-Data Generation

- DNF computation yields already the test cases:

$$X.sum() \equiv Y$$

(for some $X \in \text{LList}$, i.e. $X \neq \text{null}$)

$$\implies \text{inv}_{\text{LList_Core}}(X) \wedge \text{post}_{\text{sum}}(X, Y)$$

$$\begin{aligned} \equiv & (\text{if } X.\text{next} = \text{null} \text{ then } X.\text{lgth} = 1 \\ & \quad \text{else } X.\text{lgth} = X.\text{next}.\text{lgth} + 1 \wedge X.\text{next} \in \text{LList} \wedge \text{inv}_{\text{LList_Core}}(X.\text{next}) \\ & (\text{if } X.\text{next} = \text{null} \text{ then } Y = X.\text{lgth} \\ & \quad \text{else } Y = X.\text{lgth} + \text{sum}(X.\text{next})) \end{aligned}$$

$\equiv (\text{if } c \text{ then } C \text{ else } D \text{ elim, DNF})$

$$(X.\text{next} = \text{null} \wedge X.\text{lgth} = 1 \wedge Y = X.\text{lgth})$$

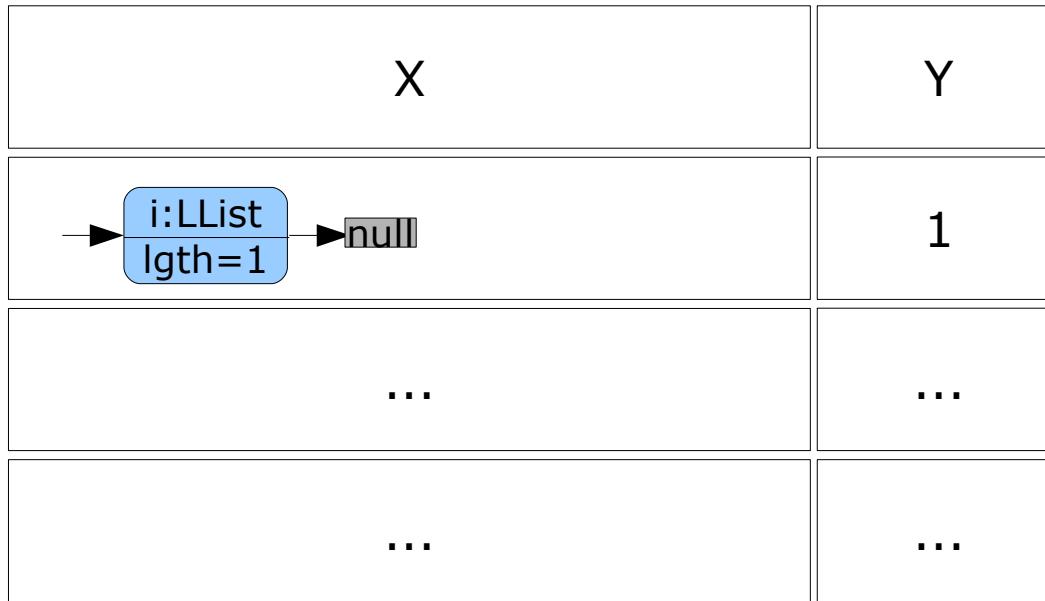
$$\vee (X.\text{next} \neq \text{null} \wedge X.\text{lgth} = X.\text{next}.\text{lgth} + 1 \wedge X.\text{next} \in \text{LList} \wedge \text{inv}_{\text{LList_Core}}(X.\text{next}) \wedge Y = X.\text{lgth} + \text{sum}(X.\text{next}))$$

New
Test-Case!!



Test-Data Generation

- ❑ Intermediate Summary: test-cases known so far ?



Test-Data Generation

- Prerequisite: We present the invariant as recursive predicate.

```
invLList_Core(n) = (if n.next=null then n.lgth = 1  
                      else n.lgth = n.next.lgth + 1  
                        ∧ n.next∈LList ∧ invLList_Core(n.next))
```

- sum(l) = if l.next=null then l.lgth
 else l.lgth + sum(l.next)

```
sum(l) = if X.next.next=null then X.next.lgth  
           else X.next.lgth + sum(X.next.next)
```

Test-Data Generation

- DNF computation yields already the test cases:

$X.sum() \equiv Y$ (for some $X \in \text{LList}$, i.e. $X \neq \text{null}$)

$\implies \dots \equiv \dots$

$\equiv (\text{unfolding sum and } \text{inv}_{\text{LList_Core}})$

$$\begin{aligned} & (X.\text{next}=\text{null} \wedge X.\text{lgth}=1 \wedge Y = X.\text{lgth}) \\ \vee & (X.\text{next}\neq\text{null} \wedge X.\text{lgth}=X.\text{next}.\text{lgth}+1 \wedge X.\text{next} \in \text{LList} \\ & \wedge (\text{if } X.\text{next}.\text{next}=\text{null} \text{ then } X.\text{next}.\text{lgth} = 1 \\ & \quad \text{else } X.\text{next}.\text{lgth} = X.\text{next}.\text{next}.\text{lgth} + 1 \\ & \quad \wedge X.\text{next}.\text{next} \in \text{LList} \wedge \text{inv}_{\text{LList_Core}}(X.\text{next}.\text{next})) \\ \wedge & (Y = X.\text{lgth} + (\text{if } X.\text{next}.\text{next}=\text{null} \text{ then } X.\text{next}.\text{lgth} \\ & \quad \text{else } X.\text{next}.\text{lgth} + \text{sum}(X.\text{next}.\text{next}))) \end{aligned}$$

Test-Data Generation

- DNF computation yields already the test cases:

$X.sum() \equiv Y$

(for some $X \in \text{LList}$, i.e. $X \neq \text{null}$)

$\implies \dots \equiv \dots$

\equiv (DNF partial)

$(X.\text{next}=\text{null} \wedge X.\text{lgth}=1 \wedge Y = X.\text{lgth})$

$\vee (X.\text{next} \neq \text{null} \wedge X.\text{lgth}=X.\text{next}.\text{lgth}+1 \wedge X.\text{next} \in \text{LList}$

$\wedge ((X.\text{next}.\text{next}=\text{null} \wedge X.\text{next}.\text{lgth} = 1 \wedge Y = X.\text{lgth}+X.\text{next}.\text{lgth})$

$\vee (X.\text{next}.\text{next} \neq \text{null} \wedge X.\text{next}.\text{lgth}=X.\text{next}.\text{next}.\text{lgth}+1 \wedge X.\text{next}.\text{next} \in \text{LList} \wedge \text{inv}_{\text{LList_Core}}(X.\text{next}.\text{next}) \wedge Y = X.\text{lgth}+X.\text{next}.\text{lgth} + \text{sum}(X.\text{next}.\text{next}))$

)

Test-Data Generation

- DNF computation yields already the test cases:

$X.sum() \equiv Y$

(for some $X \in \text{LList}$, i.e. $X \neq \text{null}$)

$\implies \dots \equiv \dots$

\equiv (DNF partial)

$(X.\text{next}=\text{null} \wedge X.\text{lgth}=1 \wedge Y = X.\text{lgth})$

∨ $(X.\text{next} \neq \text{null} \wedge X.\text{lgth}=X.\text{next}.\text{lgth}+1 \wedge X.\text{next} \in \text{LList}$

$\wedge X.\text{next}.\text{next}=\text{null} \wedge X.\text{next}.\text{lgth}=1 \wedge Y = X.\text{lgth}+X.\text{next}.\text{lgth})$

∨ $(X.\text{next} \neq \text{null} \wedge X.\text{lgth}=X.\text{next}.\text{lgth}+1 \wedge X.\text{next} \in \text{LList}$

$\wedge X.\text{next}.\text{next} \neq \text{null} \wedge X.\text{next}.\text{lgth}=X.\text{next}.\text{next}.\text{lgth}+1 \wedge X.\text{next}.\text{next} \in \text{LList} \wedge \text{inv}_{\text{LList-Core}}(X.\text{next}.\text{next}) \wedge Y = X.\text{lgth}+X.\text{next}.\text{lgth} + \text{sum}(X.\text{next}.\text{next}))$

Test-Data Generation

- DNF computation yields already the test cases:

$X.sum() \equiv Y$

(for some $X \in \text{LList}$, i.e. $X \neq \text{null}$)

$\implies \dots \equiv \dots$

\equiv (DNF partial)

$(X.\text{next}=\text{null} \wedge X.\text{lgth}=1 \wedge Y = X.\text{lgth})$

$\vee (X.\text{next} \neq \text{null} \wedge X.\text{lgth}=X.\text{next}.\text{lgth}+1 \wedge X.\text{next} \in \text{LList}$
 $\wedge X.\text{next}.\text{next}=\text{null} \wedge X.\text{next}.\text{lgth}=1 \wedge Y = X.\text{lgth}+X.\text{next}.\text{lgth})$

$\vee (X.\text{next} \neq \text{null} \wedge X.\text{lgth}=X.\text{next}.\text{lgth}+1 \wedge X.\text{next} \in \text{LList}$
 $\wedge X.\text{next}.\text{next} \neq \text{null} \wedge X.\text{next}.\text{lgth}=X.\text{next}.\text{next}.\text{lgth}+1$
 $\wedge X.\text{next}.\text{next} \in \text{LList} \wedge \text{inv}_{\text{LList_Core}}(X.\text{next}.\text{next})$
 $\wedge Y = X.\text{lgth}+X.\text{next}.\text{lgth} + \text{sum}(X.\text{next}.\text{next}))$

New
Test-Case!!

Test-Data Generation

Intermediate Summary: test-cases known so far ?

X	Y
<pre>i:LList lgth=1</pre>	1
<pre>i:LList lgth=2</pre>	3
...	...

Summary: Symbolic Test-Case Generation

- ... and we could continue forever
 - compile to semantics
(-> convert in mathematical, logical notation)
 - use recursive predicates, recursive contracts
 - enter loop:
 - unfold predicates one step
 - compute DNF
 - simplify DNF
 - extract test-cases
 - until we are satisfied, i.e. have „enough“ test cases ...
 - Select test-data: constraint resolution of test cases.

Test-Data Generation

- **Observation:** “all other cases” ...
were represented by the clauses still
containing recursive predicates.
- **Logically:** we used a **regularity hypothesis**, i.e ...

$$\begin{aligned} (\forall X. |X| < k \Rightarrow X.\text{sum}() \equiv Y) \\ \Rightarrow (\forall X. X.\text{sum}() \equiv Y) \end{aligned}$$

where we choose as “complexity measure” $|X|$
just `X.lgth` and k (the number of unfoldings)
was 2 ...

Test-Data Generation

- Coverage Criterion for a Test:

$$\text{DNF}_k$$

For all data up to complexity k, we constructed abstract test-cases and generated a test.

In our example, the “complexity measure” is just the length of the LLists.

Test-Data Generation

- ❑ What are the alternatives to symbolic test-case generation ?

Must this really be so complicated ???

Well, think about the probability to “guess” input with a complex invariant and precondition, if you use “blind” random-generation procedure ...

Test-Data Generation

- Summary
 - We have (sketched) a symbolic Test-Case Generation Procedure for UML/MOAL Specifications
 - It takes into account:
 - object orientation
 - data invariants (recursive predicates)
 - recursive functions (via unfolding)
 - The process can be tool-supported (HOL-TestGen)
 - The process is intended for automation.

Test-Data Generation

□ Summary

Key-Ingredients are:

- Unfolding predicates up to a given depth k
- computing the Disjunctive Normal Form (DNF_k)
- Adequacy:
Pick for each test-case (a conjoint in the DNF_k)
one test, i.e. one substitution for the free
variables satisfying the test-case !